



Performance Indicators – 04/01/23 to 09/30/23

All data must be entered into MIS by October 10th. Data entered after 10th may not be included.

Note that performance exemptions are only considered for items 6, 7, 9, and 13.

<p>1. Quarterly Performance Targets -NYS Target Performance: 9 of 12 Performance Targets achieved in at least 3 of 4 quarters, from 10/01/22 to 09/30/23 -Four quarters of performance are reviewed for targets HD 1a, 2a, 3 through 8, PCI 1, FLC 1, 3, 7. If stated target is achieved at least 3 of 4 times, target is considered met. <i>Note: PCI2a will not be included at this time</i></p>	<p>Report Catalog/Quarterlies/ Quarterly Performance Targets for 4 Quarters Date Range: 07/01/23 to 09/30/23</p>
<p>2. Retention Rate at One Year * -NYS Target Performance: 50% -Participants who enrolled from 04/01/22 to 09/30/22</p>	<p>Report Catalog/Accreditation/ 3-4. A&B Retention Rate Analysis (Case Filter/Site Options) Date Range: 04/01/22 to 09/30/22</p>
<p>3. FROG scale Assessment completed within 30 days of enrollment -NYS Target Performance: 100%</p>	<p>Report Catalog/Analysis/ 2-1.C FROG Timing Report (One-Step Enrollment) Date Range: 04/01/22 to 09/30/23</p>
<p>4.a Signature Families: First Home Visit prior to 3 months after Target Child’s birth for performance period 4.b CWP Families: First Home Visit prior to 24 months after Target Child’s birth for performance period -NYS Target Performance: 95% for each</p>	<p>Report Catalog/Accreditation/ 1-3. B Timing of First Home Visit (Case Filter/Site Options) Date Range: 04/01/23 to 09/30/23</p>
<p>5. Required forms (Follow-up) for last month of Performance period. * -NYS Target Performance: invalid Follow-Ups 25% or less</p>	<p>Report Catalog/Analysis/ Quality Assurance Report (Case Filter/Site Options) Analysis Point: 09/30/23</p>
<p>6. Accreditation Requirements for Training: Orientation & Shadowing, Core, FGP, and Prenatal -Staff hired as of 01/01/2018</p>	<p>Report Catalog/Training/ Training BPS #1 (Orientation & Shadowing), #2 (Core), #4 (FGP), #5 (Prenatal)</p>
<p>7. Accreditation Requirements for Training: Wraparound Training: 3, 6 and 12 Month -Staff hired as of 01/01/2018</p>	<p>Report Catalog/Training/Training BPS #4 (3 M Wraparounds), #5 (6 M Wraparounds), and #6 (12 M Wraparounds)</p>
<p>8. HFA Home Visit Rate -NYS Target Performance: 75%</p>	<p>Report Catalog/Accreditation/ 4-2.B HFA Home Visiting Completion Rate Analysis - Summary (Case Filter/Site Options) Date Range: 07/01/23 to 09/30/23</p>
<p>9. Supervisor observation of FSS/FRS -NYS Target Performance: 2 home visits (FSSs), 2 assessments (FRSs), 1 home visits & 1 assessment (dual role) from 10/01/22 to 09/30/23, for staff hired before 10/01/22 <i>(see indicator policy below)</i></p>	<p>Report Catalog/Accreditation/ 12-2.C Observations by Supervisor, for from 10/01/22 to 09/30/23</p>

Performance Indicators – 04/01/23 to 09/30/23

10. Prenatal Enrollment in Performance Period** -NYS Target Performance: 50% -Percentage of all participants enrolled from 04/01/23 to 09/30/23** (see example below)	Report Catalog/Quarterlies/ Quarterly Program Information for 8 quarters (Case Filter/Site Options) Date range: 07/01/23 to 09/30/23
11. Creative Outreach -NYS Target Performance: 10% or less as of last quarter ending 09/30/23	Report Catalog/Quarterlies/ Quarterly Program Information for 8 quarters (Case Filter/Site Options) Date range: 07/01/23 to 09/30/23
12. Program Capacity in Performance Period*** -NYS Target Performance: 85% -Average of active enrolled participants at end of quarters ending 06/30/23 and 09/30/23, divided by contracted capacity ***(see example below)	Report Catalog/Quarterlies/ Quarterly Program Information for 8 quarters (Case Filter/Site Options) Date range: 07/01/23 to 09/30/23
13. Regular and Protected Supervision -75% of expected supervision sessions for all staff for period 04/01/23 to 09/30/23	Report Catalog/Accreditation/ 12-1.B Regularly Scheduled and Protected Supervision – Summary Date Range: 04/01/23 to 09/30/23
14. Initiation of Service Plan -NYS Target Performance: 100% -100% of families served in past year will have a Service Plan initiated within 2 weeks of FROG approval.	Report Catalog/Accreditation/ 6-1.B Service Plan Analysis Date Range: 04/01/23 to 09/30/23
15. Service Plan in-depth discussion NYS Target Performance: at least Quarterly Supervisor and FSS discuss Service Plan at least once each Quarter.	Report Catalog/Accreditation/6-1.B Service Plan Analysis Date Range: 04/01/23 to 09/30/23
16. Caseload Management NYS Performance Target: No FSS exceeds the maximum case weight of 75% of the weekly hours for 3 or more consecutive months.	Report Catalog/Accreditation/8-1.B Annual Case Weight Report Date Range: 10/01/22 to 09/30/23

*Run reports after FIRST OF MONTH. You can run this report before then, but the end date of the period used needs to be over by the time you run the report.

**Prenatal Enrollment in Performance Period:

4. New Enrollments this quarter	9	1	4	4	6	8	2	11
a. % Prenatal	6 (67%)	1 (100%)	3 (75%)	3 (75%)	3 (50%)	7 (88%)	1 (50%)	11 (100%)

For this example, the prenatal rate for this six month period would be:

(1 + 11 prenatal enrollments) divided by (2 + 11 total enrollments) or

12 prenatal enrollments divided by 13 total enrollments or

92% prenatal enrollment for the six month Performance Indicator period.

Performance Indicators – 04/01/23 to 09/30/23

***Program Capacity:

6. Families Active at end of this Quarter 119 113 133 129 137 140 143 125

1. Add Families Active at end of Quarter 06/30/23 (second-to-last) and 09/30/23 (last) and divide by 2
2. Divide by contracted capacity
3. Represented as a percentage

Example:

Your current contract would say something like this:

NUMBER OF FULLTIME FAMILY SUPPORT WORKERS: 8 X 20 FAMILIES = 160 BE SERVED AT ONE TIME.

1. Active at end of 12/31/22: 143
2. Active at end of 03/31/23: 125
3. Average of two: $(143 + 125)/2=134$
4. Contracted capacity: =160

Performance Indicator Capacity: $134/160=84\%$

Observation by Supervisor Policy

Only examine staff who have performed at least one FROG Assessment or Home Visit in past year, and who started performing either role before 10/01/22.

1. For workers who have done a **FROG Assessment** in the last year (10/01/22 to 09/30/23), **two** observations are required, as noted on the form (item 13. Observation by supervisor), between 10/01/22 to 09/30/23. Observations should be done every six months.
2. For workers who have done a **Home Visit** in the last year (10/01/22 to 09/30/23), two observations are required, as noted on the home visit log (item 8a. Observation by supervisor), between 10/01/22 to 09/30/23. Observations should be done every six months and should not be done with the same family if possible.
3. For those workers (dual role) who have done **both** a FROG Assessment and a Home Visit in the last year (10/01/22 to 09/30/23), the requirement is one observation for each role. **One home visit observation and one assessment** observation must occur between 10/01/22 to 09/30/23.
4. If more than one observation (of the same type) for one worker occurs in the same month, they will be counted only once (e.g., a second home visit observation in the same month will not be counted).